

CONFERENCE BULLETIN

Presented by:



With New Jersey Banks, New Jersey Prospers

CLE/CPE
CAMS/CRCM/CFSSP
CREDITS MAY APPLY

For additional information,
Please call or e-mail:

Program: Cris Goncalves
908-272-8500, ext. 630
cgoncalves@njbankers.com

Sponsorships: Jenn Zorn
908-272-8500, ext. 611
jzorn@njbankers.com

Registration: Diane Starr
908-272-8500, ext. 600
dstarr@njbankers.com

Follow us on:



Updated: June 15, 2016

2016 Compliance University

June 22 – 24, 2016

Crowne Plaza Monroe, Monroe Twp., NJ

The 2016 Compliance University is a three-day program covering a wide range of intermediate and advanced compliance issues presented by compliance experts with a broad array of experience and knowledge. **Attend and get up-to-date information on regulations, legislation, UDAP, Reg Z., RESPA, HMDA, Fair Lending and many more topics.**

Compliance University is designed with the flexibility to encourage a number of your staff to attend. Sign up for individual days, sign up one person for the entire three-day university or two people can split a day in half. **Ensure your bank is compliant!**

Program - Wednesday, June 22, 2016

Morning Session

8:00 a.m.	Registration/Continental Breakfast
8:30 a.m.	Erica Torres, CRCM Regulatory Compliance Wolf & Company, P.C.
8:30 a.m.	TRID Update Richard Horn, Esq., Principal Richard Horn Legal PLLC
9:30 a.m.	Refreshment Break
9:50 a.m.	Meet the Regulators: Hot Topics and Top Five Violations Kenneth J. Benton, Senior Consumer Regulations Specialist The Federal Reserve Bank of Philadelphia Tom Clayton, National Bank Examiner (Compliance) Office of the Comptroller of the Currency Kevin McMahan, Senior Compliance Examiner Federal Deposit Insurance Corporation
11:00 a.m.	What's New in Regulatory Examinations and Enforcement Travis P. Nelson, Esq., Counsel Reed Smith LLP
12:00 p.m.	Buffet Lunch

Afternoon Session

1:15 p.m.	FDIC Case Study on UDAP <i>Case Lead:</i> Barbara Neenhold, Senior Compliance Examiner Federal Deposit Insurance Corporation John Bryan, Compliance Examiner Federal Deposit Insurance Corporation Kevin McMahan, Senior Compliance Examiner Federal Deposit Insurance Corporation Maura Migliorini, Examination Specialist Federal Deposit Insurance Corporation
4:30 p.m.	Adjournment

CONFERENCE BULLETIN continued

<u>Program - Thursday, June 23, 2016</u>	<u>Program - Friday, June 24, 2016</u>
<u>Morning Session</u>	<u>Morning Session</u>
8:00 a.m. Registration/Continental Breakfast	8:00 a.m. Registration/Continental Breakfast
8:30 a.m. Erica Torres, CRCM Regulatory Compliance Wolf & Company, P.C.	8:30 a.m. Erica Torres, CRCM Regulatory Compliance Wolf & Company, P.C.
8:30 a.m. Threat Finance Updates Kevin G. Rietema , Special Agent Federal Bureau of Investigation - Joint Terrorism Task Force	8:30 a.m. Fair Lending Risk Assessment Erica Torres, CRCM Regulatory Compliance Wolf & Company, P.C.
9:30 a.m. NJ SARS Task Force Panel Greg Botti , Postal Inspector/Team Leader U.S. Postal Inspection Service, Newark Division Thomas C. Germano Drug Enforcement Administration Jonathan Helmstetter , Special Agent Internal Revenue Services - Criminal Investigation Steven Helmstetter , Special Agent Internal Revenue Services - Criminal Investigation Joseph Mack , AUSA-Chief Health Care & Government Fraud Unit United States Attorney's Office, District of NJ Kelly Polson , Special Agent Homeland Security Investigations Terri Quintana , Intelligence Research Specialist Homeland Security Investigations Kevin G. Rietema , Special Agent Federal Bureau of Investigation - Joint Terrorism Task Force	9:45 a.m. Break
10:50 a.m. Break	10:00 a.m. HMDA Sharon A. Blanchette , Director, Special Projects FIS-RISC Solutions Alice Judd , MBA, CRCM Managing Director, MidAtlantic FIS-RISC Solutions
11:05 a.m. Office of Foreign Assets Control (OFAC) Asaad Faquir , Director RSK Compliance	11:00 a.m. FLOOD Allison Giancola , Sr. Consultant RSK Compliance Solutions, LLC Gerald Jones , President Western Technologies Group, LLC
12:00 p.m. Buffet Lunch	12:00 p.m. Buffet Lunch
<u>Afternoon Session</u>	<u>Afternoon Session</u>
1:15 p.m. Model Validations Frank Masi, Ph.D. , Executive Vice President ARC Risk and Compliance	1:15 p.m. Reg Z & RESPA Update Carl Pry, CRCM, CRP , Managing Director Treliant Risk Advisors, LLC
2:15 p.m. Developing a Culture of Compliance Salvatore Zerilli , Managing Director The Mercadien Group	3:15 p.m. Break
3:15 p.m. Break	3:30 p.m. Reg Z & RESPA Update Carl Pry, CRCM, CRP , Managing Director Treliant Risk Advisors, LLC
3:30 p.m. Effective Compliance Monitoring & Testing Karen I. Martino , Partner Martino & Thoms, LLC Mary Marley-Reidy , Partner Martino & Thoms, LLC	4:30 p.m. Adjournment
4:30 p.m. Adjournment	

CONFERENCE BULLETIN continued

NJBankers would like to thank our sponsors:



Martino & Thoms, LLC

Karen I. Martino – Mary Marley

973-641-3761 * kimartino@martinothoms.com

2016 COMPLIANCE UNIVERSITY

CONFERENCE BULLETIN continued

June 22 – 24, 2016
Crowne Plaza Monroe, Monroe Township, NJ

SPONSORSHIP OPPORTUNITIES

Sponsors \$750 each per day or \$2,000 for all 3 days

Sponsorships give your company maximum exposure and recognition to Conference attendees and provide another exceptional opportunity to forge new relationships. Enhance your image by sponsoring an event.

Sponsorship will include: <ul style="list-style-type: none">• ONE representative for your exhibit space• One eight-foot, skirted, cloth-covered table• Recognition in the conference program	<ul style="list-style-type: none">• Recognition with name badge ribbon during the conference• Recognition with signage at the conference• Pre-show mailing recognition• Pre & Post attendee list
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The fee also entitles the representative to the program, Continental Breakfast, and lunch. Registration for any additional representatives will be at the regular member cost of \$255 per person or \$405 for a non-member.

Contact Name: _____

Company Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____

Email Address: _____

PAYMENT METHOD

AmEx__ Visa__ MasterCard__ Discover__ Check Encl__

(To ensure your space please fax form even if mailing with a check.)

Card# _____ Exp. Date _____ CCV# _____

Name on Card _____

Signature _____

Send Completed Form and Company Logo in high res .jpg to:

Jenn Zorn, Senior Vice President/Director of Education and Business Development
New Jersey Bankers Association
411 North Avenue East
Cranford, NJ 07016
Fax: 908-272-2720
jzorn@njbankers.com

CONFERENCE BULLETIN continued

2016 Compliance University

Date: Wednesday, June 22, 2016 to Friday, June 24, 2016

AM Registration:

8:00 a.m. – 8:30 a.m.
(Wednesday, Thursday, Friday)

PM Registration:

12:00 p.m. – 12:30 p.m.
(Wednesday, Thursday, Friday)
(Afternoon Sessions begin at 1:15 p.m. with lunch)

Program: 8:30 a.m. – 4:30 p.m.

Location: Crowne Plaza Monroe
390 Forsgate Drive
Monroe Township, Monroe, NJ

TO REGISTER

By Mail:

New Jersey Bankers Association
411 North Avenue East
Cranford, NJ 07016

By Fax:

908-272-2720

Questions?

908-272-8500

Registration Information: Program fee includes seminar materials, lunch and refreshment breaks, Breakfast depending on session. The deadline to register is **June 8, 2016**. Cancellations received prior to the registration deadline will be assessed an administration fee of \$25 per registrant. After **June 8, 2016**, refunds will not be granted, but substitutions are welcomed. All cancellations must be submitted in writing.

Dress Code: Business casual attire is appropriate.

Directions

NJ Turnpike North or South to exit 8A.
After toll, stay to left. Go around ramp and make right into hotel parking lot.

Follow us on:



REGISTRATION (Photocopy this form for additional registrations.)

Name: _____

Title: _____

Company: _____

Address: _____

City/State/Zip: _____

Phone: _____ **Fax:** _____

****E-mail:** _____

****Note, all handouts will be emailed prior to seminar**

Attendee Registration Fee:

- Full Compliance School ONLY (3 day)*** - NJBankers member - \$620
- Full Compliance School ONLY (3 day)*** - Non-member* - \$770
- Hardship - *(please see guidelines on next page)*
- One Day Registration - NJBankers member - \$255
 - Wednesday Thursday Friday
- One Day Registration - Non-member* - \$405
 - Wednesday Thursday Friday
- Half Day Registration - NJBankers member - \$155
 - AM Session (8:00 a.m. – 1:00 p.m.) or PM Session (12:00 p.m. – 4:30 p.m.)
 - AM Wednesday AM Thursday AM Friday
 - PM Wednesday PM Thursday PM Friday
- Half Day Registration - Non-member* - \$305
 - AM Session (8:00 a.m. – 1:00 p.m.) or PM Session (12:00 p.m. – 4:30 p.m.)
 - AM Wednesday AM Thursday AM Friday
 - PM Wednesday PM Thursday PM Friday

Both AM & PM session for half days includes lunch, if 2 people are splitting one day, an additional fee of \$50 will be automatically charged for lunch.

I will require special accommodations/dietary needs to participate.

Please List Name and Needs: _____

PAYMENT METHOD

AmEx__ Visa__ MasterCard__ Discover__ Check__

(To ensure your space, please fax form even if mailing with a check.)

Name on Card _____

Card # _____ **Exp. Date** _____ **CCV#** _____

Signature _____

***** Multi-day discounts cannot be split among multiple attendees *****

**If you are not a member of NJBankers and would like to join, contact Jenn Zorn, 908-272-8500 ext. 611.*

CONFERENCE BULLETIN continued

NEW JERSEY BANKERS ASSOCIATION Financial Hardship Guidelines and Procedures for CLE Programs & Courses

The following constitutes the financial hardship guidelines and procedures for continuing legal education programs and courses sponsored by the New Jersey Bankers Association:

1. The fees charged by NJBankers for continuing legal education programs and courses reflect the Association's commitment to the availability of affordable, quality CLE programs to our members and their associates. Reduced fees to attend NJBanker's programs are available to:

a) Attorneys in private practice whose gross annual income is less than \$35,000; attorneys who work for not-for-profit organizations; and judges, judicial law clerks, law professors and law students may apply for a 15% discount off the member registration fee;

b) Employees of financial services regulatory agencies and other governmental entities may register at the member rate.

2. Requests for reduced or waived fees for NJBanker's sponsored CLE programs shall be made in writing and submitted to Jenn Zorn, Vice President/Director of Education and Business Development no later than 14 days before (June 8, 2016) the date on which the CLE course or program is to be held.

3. The written request shall contain a statement of the economic hardship and/or employment status and the relief sought by the applicant. All requests made pursuant to these guidelines shall remain confidential.

4. The applicant shall be informed of the committee's decision, not later than seven days prior to the date (June 15, 2016) on which the CLE program or course is to be given, provided that the application for a reduced or waived program fee has been submitted in a timely manner.